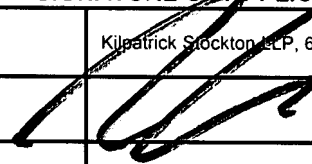
	<b>TRANSMITTAL FORM</b>		Application Number	10/812,902 (Patent No. 7,257,555)
			Filing Date	March 31, 2004 (Issued August 14, 2007)
			First Named Inventor	Craig FARR
			Art Unit	3692
			Examiner Name	Narayanswamy Subramanian
Total Number of Pages in This Submission		4	Attorney Docket Number	SSB0004

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Certificate of Correction (2 pp.); Certificate of Correction (1 p.)

Remarks

**Certificate**  
 SEP 12 2007  
 of Correction

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Kilpatrick Stockton LLP, 607 14 <sup>th</sup> Street, N.W., Suite 900, Washington, DC 20005		
Signature			
Printed Name	George T. Marcou		
Date	9/10/07	Reg. No.	33,014

## CERTIFICATE OF TRANSMISSION/MAILING

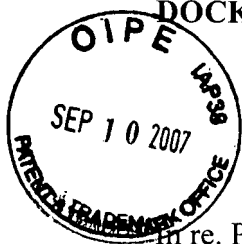
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 12 2007



DOCKET NO: SSB0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re. Patent Application of:

Craig FARR

Art Unit: 3692

App. Serial No.: 10/812,902  
Patent No.: 7,257,555

Examiner: Narayanswamy Subramanian

Filing date: March 31, 2004  
Issue date: August 14, 2007

For: METHOD AND SYSTEM FOR PROVIDING DIVIDEND ENHANCED  
CONVERTIBLE STOCKS WITH ACCELERATION TRIGGERS

**REQUEST FOR CERTIFICATE OF CORRECTION**

U.S. Patent and Trademark Office  
Customer Service Window  
Attn: Certificate of Correction Branch  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

The undersigned requests that a Certificate of Correction be issued for the above-identified patent as indicated on the attached Form PTO/SB/44 (04-05).

This request is being made in order to correct errors in the Claims section of the patent.

Since this Request for Certificate of Correction is being filed due to an error by the U.S. Patent and Trademark Office, no fees are believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any

SEP 12 2007

Serial No. 10/812,902  
Patent No. 7,257,555

2

Docket No. SSB0004

overpayment, to Deposit Account No. 501458. A duplicate copy of this letter is submitted herewith for that purpose.

Respectfully submitted,

Date:

9/10/07

By:



KILPATRICK STOCKTON LLP  
607 14th Street, N.W., Suite 900  
Washington, D.C. 20005  
Telephone: (202) 508-5800

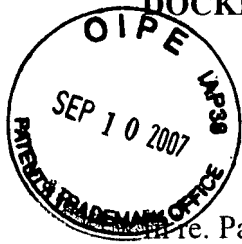
George T. Marcou  
Registration No. 33,014

40984/284637  
Doc. No. 10273113

SEP 12 2007

DOCKET NO: SSB0004

PATENT



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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,257,555

Page 1 of 1

APPLICATION NO. : 10/812,902

ISSUE DATE : August 14, 2007

INVENTOR(S) : Craig FARR

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

### IN THE CLAIMS -

In Column 5, Line 29, please change "ment further a second acceleration trigger clause to desig-" to -- ment further comprises a second acceleration trigger clause to desig- --

In Column 5, Line 35, please change "3. The financial instrument method of claim 2, wherein" to -- 3. The method of claim 2, wherein --

In Column 6, Line 9, please change "ment upon a happening upon an occurrence of a second" to -- ment upon an occurrence of a second --

In Column 6, Line 20, please change "11. The financial instrument method of claim 7, wherein" to -- 11. The method of claim 7, wherein --

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Kilpatrick Stockton LLP  
607 14<sup>th</sup> Street, N.W., Suite 900  
Washington, DC 20005

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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